



# Human Resources Compliance Breakfast Briefing & Webinar Series: Retirement Plan Governance: Fiduciary Best Practices (St. Cloud)

December 10, 2013

Firm Event & Webinar

Presented by: GPM's Employment & Labor Practice Group

Federal law holds employers who sponsor retirement plans to high fiduciary standards in the administration of their plans. High fiduciary standards apply when determining benefits, supervising vendors that provide day-to-day administration, selecting and monitoring investment offerings under a 401(k) plan and monitoring investment advisors or managers under all types of plans. Solid plan governance procedures are one of the best protections against breach of fiduciary duty claims.

This program is recommended for all retirement plan sponsors and will cover the following topics:

- All retirement plans need clear lines of authority for dealing with plan amendments, investment decisions, benefit claims and a wide range of fiduciary decisions
- Special considerations apply for publically held companies and plans that hold employer stock, whether public or private
- Special attention needs to be given to plan governance documentation that is likely to be requested by the Department of Labor if the plan is subject to a Department of Labor audit.



**Presenter:** John Nichols

*There is no fee for this program.*

*Parking will be validated for the Marquette / IDS Center Ramp.*

## HR COMPLIANCE REVIEW PROGRAM

Gray Plant Mooty is pleased to offer an affordable proactive approach to managing employer risk. Our approach to compliance reviews combines our legal expertise and perspective with a practical business viewpoint. Each Compliance Review in our series is offered at a customized flat rate.

### **Time:**

7:30 — 8:00 a.m. Breakfast / 8:00 — 9:30 a.m. Program

### **Location:**

Gray Plant Mooty (St. Cloud)